

Form GFR 19-A

[See Rule 212 (1)]

Form of Utilization Certificate

Certified that out of Rs..... Grants-in-aid sanctioned during the year in favour of under this Ministry/ Department Letter no given in the margin and Rs. On account of unspent balance of the year, a sum of Rs.has been utilized for the purpose of For which it was sanctioned and that the balance of Rs.remaining utilized at the end of the year has been surrendered to Government (Vide No..... dated.....)/ will be adjusted towards the grant-in-aid payable during the next year.

| Sr. No. | Letter No & Date | Amount |
|-----------------|-----------------------------|---------------|
| | | |
| Total :- | | |

2. Certified that I have satisfied myself that the conditions on which the grant-in-aid was sanctioned have been duly fulfilled/ are being fulfilled and that I have exercised the following checks to see that the money was actually utilized for the purpose for which it was sanctioned.

Kinds of checks exercised

- 1.
- 2.
- 3.

Name & Designation
(Authorized Signatory)

ULB/Implementing Agency

Name & Designation
(Authorized Signatory)

State Level Nodal Agency

Name & Designation
(Authorized Signatory)

State Government

APPLICATION FOR 2nd & SUBSEQUENT INSTALLMENT OF FUNDS BY PROJECT IMPLEMENTING AGENCIES

| | | |
|---|---|--|
| Name of the City | | |
| Name of Project Implementing Agency | | |
| | Nodar Officer/ City Commissioner | Technical Person looking after execution of Project |
| Name | | |
| Designation | | |
| Postal Address | | |
| | | |
| | | |
| Pin Code. | | |
| Mobile No. | | |
| Phone No. | | |
| Fax No. | | |
| Email :- | | |
| Project Title (as per CSMC) | | |
| Project Code (as per IPOMS) | | |
| Sanctioned Project Cost (in Rs Lacs) | | |
| Date of Sanction by CSMC | | |
| Share of different sources (in % terms) | | |
| Central Share | | |
| State Share | | |
| City Share | | |
| Amount of Second/ Third installment requested (in Rs Lacs) | | |

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ULB/Implementing Agency

**Name & Designation
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State Level Nodal Agency

Form of Utilisation Certificate for Project under RAY

State :- _____

City :- _____

Name of the Project :- _____

Project Code :- _____

It is certified that out of Rs. _____ Sanctioned as installment no. _____ for the above project an amount of Rs. _____ has been utilized as stated below :-

| Source of Release | Unspent Balance of previous installment brought forward | Date of last installment Released | Amount of last installment Released | Total Amount | Amount Utilised since release of last installment | % of fund utilised |
|-------------------|---|-----------------------------------|-------------------------------------|--------------|---|--------------------|
| A | B | C | D | E=B+D | F | G |
| GOI | | | | | | |
| State | | | | | | |
| ULB | | | | | | |
| Beneficiary | | | | | | |
| Total :- | | | | | | |

2. Certified that I have satisfied myself that the conditions on which the grant-in-aid was sanctioned have been duly fulfilled/ are being fulfilled and that I have exercised the following checks to see that the money was actually utilized for the purpose for which it was sanctioned.

Kinds of checks exercised

- 1.
- 2.
- 3.

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State Government

Progress on Reforms:

Project Cost (as per CSMC):- _____

State :- _____

City :- _____

Name of the Urban Local Body:- _____

Project Title :- _____

| S. No. | Name of Reform | Target date as per MoA between State & M/o Housing & UPA signed on | Actual Completion Date | Present Status/ Progress of reforms (Details of issued Executive orders/ Notification/ Legislation) |
|---------------------------|--|--|------------------------|---|
| Mandatory Reforms: | | | | |
| 1 | Commitment and willingness to assign mortgageable and renewable long-term (15 years) inheritable lease rights to slum dwellers who have been a resident of the slum for more than 5 years. | | | |
| 2 | Reservation of 15% of residential FAR/FSI or 35% of dwelling units for EWS/LIG categories whichever is higher, with a system of cross- subsidisation in all future housing projects in accordance with guidelines to be prescribed by the first party. | | | |
| 3 | A non-lapsable earmarking of 25% of the budget of the Municipality to provide basic services to the urban poor | | | |
| 4 | Creating and establishing a municipal cadre for social/ community development and urban poverty alleviation during the plan period. | | | |
| Optional Reforms:- | | | | |
| 1 | Amendments of Master Plans to provide for inclusive growth through inclusionary zoning and other measures for inclusive development. | | | |
| 2 | Simplification of the processes and procedures of sanctioning buildings and building byelaws concerning development and housing projects to provide single window based quick approvals in order to reduce transaction cost | | | |

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State Level Nodal Agency

Certificate of Physical Achievement - RAY

Project Cost (as per CSMC):- _____

State :- _____

City :- _____

Name of the SLNA :- _____

Project Title :- _____

Completion Date of Project :- _____

| S. No. | Project Component | Progress Parameter | As on Progress (In No) | Progress at the time of last Installment Released |
|--------|-------------------|--|------------------------|---|
| 1 | Housing | Sanctioned no. of DU's | | |
| | | Date of Tender Floated | | |
| | | In Progress DU's | | |
| | | Completed DU's | | |
| | | Allotted DU's | | |
| | | Occupied DU's | | |
| | | Yet to be Started DU's | | |
| 2 | Infrastructure | Major Infrastructure Component wise progress mentioned in Cost sheet of CSMC minutes, such as... | % of Completion | |
| | | Water Supply | | |
| | | Sewerage | | |
| | | Drainage | | |
| | | Roads | | |
| | | Solid Waste Management | | |
| | | Electricity | | |
| | | Community Center | | |
| | | Livelihood Centre | | |
| | | Informal Sector Market | | |
| | | Parks | | |
| | | Others | | |

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State Level Nodal Agency

Additional Information Sheet

Project Cost (as per CSMC):- _____

State :- _____

City :- _____

Project Title :- _____

| S. No. | Particulars | Status (Yes/No) |
|--------|---|-----------------|
| 1 | Whether beneficiaries has been identified | |
| 2 | Whether List of beneficiaries has been published on the State website | |
| 3 | Whether biometric identification of beneficiaries completed | |
| 4 | Whether TPIMA instituted | |
| 5 | Whether SLTC/CLTC established and functional | |
| 6 | Whether Separate fund for RAY constituted and operational | |
| 7 | Month/Quarter for which latest MPR/QPR submitted to Mission Directorate | QPR MPR |
| 8 | Whether up-to-date data uploaded on IPoMS | |
| 9 | Whether system for Social Audit is in place | |
| 10 | Whether Comprehensive Capacity Building proposal submitted | |

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To be given by TPIM agency and submitted by SLNA at the time of submission of UC

| | |
|-------------------------------|--|
| State/City:- | |
| Project Cost (as per CSMC):- | |
| Name of TPIM Agency | |
| Date of Visit of TPIM Agency | |
| Officer in-charge/ interacted | |

| Progress of Construction of Dwelling Units:- | | | | | | | | |
|--|------------|----------------|--|---------------------------|-----------------------------|---------------------|---------------------------------|-----------|
| Housing | Sanctioned | Tender Floated | Tender Awarded/ Contract/ Work Order Issued | Work Started/ Taken up | Foundation/ Plinth Level | Roof Slab Casted | Super Structure Completed | Completed |
| | Nos | Nos | Nos | Nos | Nos | Nos | Nos | Nos |
| Construction under In- Situ | | | | | | | | |
| Construction under Relocation | | | | | | | | |
| Upgrade | | | | | | | | |
| Rental | | | | | | | | |
| Transit | | | | | | | | |
| Total | - | - | - | - | - | - | - | - |

| Rehabilitation of Dwelling Units:- | | | | | | | |
|------------------------------------|------|------|-------|------------|---------|-------|-------|
| | SC's | ST's | OBC's | Minorities | Disable | Other | Total |
| Allotment | | | | | | | - |
| Occupied | | | | | | | - |

| Social & Civic Infrastructure:- | | | | | |
|---------------------------------|---------------|----------------|--|---------------------------|-------------|
| Particulars | Sanction Cost | Tender Floated | Tender Awarded/ Contract/ Work Order Issued | Work Started/ Taken up | Completed |
| | Rs in lakhs | Rs in lakhs | Rs in lakhs | Rs in lakhs | Rs in lakhs |
| Civic Infrastructure | | | | | |
| Social Infrastructure | | | | | |
| Other Infrastructure | | | | | |
| Total | - | - | - | - | - |

| | |
|--|--|
| Overall Physical Progress of the Housing in % | |
| Overall Physical Progress of the Infrastructure in % | |
| Deviation from Sanctioned DPR (as per TPIM Report) : | |
| Cost Overrun (as per TPIM Report) : | |
| Time Overrun (as per TPIM Report) : | |
| Quality Aspects : (as per TPIM Report) : | |
| Remarks :- | |

FINANCIAL CLOSURE CERTIFICATE

STATE/NODAL AGENCY, _____

CERTIFICATE FOR FINANCIAL CLOSURE OF PROJECTS SANCTIONED UNDER RAY

- Name of Project/ Town :
- Project Cost approved by GOI (₹ in Lacs) :
- Tended cost of Housing /Infrastructure (₹ in Lacs) :
- Estimated escalation of Housing/Infrastructure (₹ in Lacs) :

It is hereby undertaken that the additional amount of expenditure involved due to cost escalation will be made available to the implementing agency by the State Govt. and ULB's own resources with the objective of completion of all the components as approved in the DPR and the desired outcome of the project made available to the beneficiaries.

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UNDERTAKING

As State level Nodal Agency/ Urban Local Body/Implementing Agency, we hereby undertake that:

- 1) Work for the project _____ sanctioned under RAY scheme under JNNURM at a cost of Rs. _____lakhs is under progress and will be completed as per the sanctioned covenants of Detailed Project Report.
- 2) Any deviation from the sanctioned components will be reported to Mission Directorate, JNNURM, Ministry of Housing & Urban Poverty Alleviation, Government of India and approval will be taken from CSMC.
- 3) Any amount unutilized or saved from the Additional Central Assistance on account of non-completion/non compliance of work with respect to sanctioned DPR will be returned to the Government of India.
- 4) Cost escalation, if any, will be borne by State/ULB to complete the project as per sanctioned covenants.
- 5) The quality and structural safety of the work is checked and assured to be satisfactory with respect to relevant Standards and specification and as per conditions of the contract agreement. Adequate remedial measures / rectification will be taken on the comments of TPIM agency on quality.
- 6) Beneficiaries have been identified and list is placed on the website/ is being identified and will be finalized by _____ and list will be placed on the website subsequently.
- 7) 3 pro-poor reforms are on track and shall be completed in Mission period.
- 8) The final Utilisation Certificate, Project Completion Certificate and final TPIM report will be submitted within 6 months from receipt of the final instalment.

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